

European Commission

# **EU Vessel Density Map**

Detailed method

v 1.6

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#### **EUROPEAN COMMISSION**

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# **EU Vessel Density Map**

Detailed Method

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## Table of contents

1.	WHAT IS	S A VESSEL DENSITY MAP?	2
2.	WHAT IS	5 DENSITY?	3
3.	ном то	CALCULATE DENSITY?	6
4.	THE MET	ГНОД	7
	4.1. De	fining the area of interest	7
	4.2. Cre	eating a grid	10
	4.3. Se	tting up a machine	12
	4.4. Ac	quiring and cleaning AIS data	12
	4.5. Ac	quiring and cleaning AIS data	15
		eating points	
	4.7. Cre	eating lines	21
	4.8. Ca	Iculating density	24
	4.9. Cre	eating maps	25
5.	PUBLISH	IING THE MAPS	25
	5.1. Co	nverting to different density units	26
6.		NEXT	
7.	REFEREN	NCES	28

## Introduction

The European Marine Observation and Data Network (EMODnet) is a network of organisations supported by the EU's integrated maritime policy. These organisations work together to observe the sea, process the data according to international standards and make that information freely available as interoperable data layers and data products. The portal makes available information such as geographical position and spatial extent of a series of activities related to the ocean, their temporal variation, time when data was provided, and attributes to indicate the intensity of each activity. The data are aggregated and presented so as to protect personal privacy and commercially-sensitive information. The data also include a time interval, so that historic as well as current activities can be represented.

In March 2017, EMODnet was mandated to create vessel density maps of EU waters showing the average number of vessels of certain type (cargo, passenger, fishing etc.) in a given period within a grid cell. Vessel density maps were by far the most requested GIS data product by EMODnet Human Activities – back then EMODnet was split in 7 different portals and the vessel density maps were under the remit of EMODnet Human Activities – users, according to a survey carried out in 2016. The maps went live on EMODnet (<u>https://emodnet.ec.europa.eu</u>) for visualisation and download on 11 March 2019.

The purpose of this document is to outline the full method that led to the creation of the maps now available online.

For further questions or clarifications, please contact the EMODnet team: <u>https://emodnet.ec.europa.eu/en/contact-us</u> ).

## 1. What is a vessel density map?

A vessel density map is a data product that shows the distribution of ships (i.e., of maritime traffic), based on the instantaneous number of vessels per unit area, such as a square kilometre, a square degree, etc.

Vessel density maps are often generated starting from ship positions retrieved from the Automatic Identification System (AIS). AIS is an automatic ship transponder system used onboard certain vessels and is used by vessel traffic services (VTS). The system was conceived to assist vessels' watchstanding officers and allow maritime authorities to track and monitor vessel movements for purposes such as collision avoidance, maritime security, aid to navigation, search and rescue etc. After its introduction it has found further uses, e.g., in fishing fleet monitoring and control and maritime traffic studies – including production of vessel density maps. Vessels fitted with AIS transponders can be tracked by AIS base stations located along coast lines or, when out of range of terrestrial networks, through a growing number of satellites that are fitted with special AIS receivers which are capable of deconflicting a large number of signatures.

Regulation 19 of the International Convention for the Safety of Life at Sea (SOLAS) sets out navigational equipment to be carried on board ships, according to ship type. In 2000, the International Maritime Organisation adopted a new requirement (as part of a revised new chapter V) for all ships to carry AISs capable of providing information about the ship to other ships and to coastal authorities automatically.

The regulation requires AIS to be fitted aboard all ships of 300 gross tonnage and upwards engaged on international voyages, cargo ships of 500 gross tonnage and upwards not engaged on international voyages and all passenger ships irrespective of size. The requirement became effective for all these ships by 31 December 2004. The regulation requires that AIS shall (i) provide information – including the ship's identity, type, position, course, speed, navigational status and other safety-related information – automatically to appropriately equipped shore stations, other ships and aircraft; (ii) receive automatically such information from similarly fitted ships; (iii) monitor and track ships; (iv) exchange data with shore-based facilities.

In addition to the IMO carriage requirements for AIS that apply globally, individual countries may require further usage. For example, the EU requires that all fishing ships of 15 m and longer use AIS as of 31 May 2014<sup>1</sup>.

However, AIS is also increasingly used on a voluntary basis by many other vessels not subject to Regulation 19 of the SOLAS Convention, including smaller leisure and fishing vessels. AIS equipment is divided into two classes – class A for mandatory use and B mostly for voluntary use – depending on the AIS transponder transmitting the AIS information. AIS information from class A transponders will always be prioritised and, thus, be shown to other ships in the area, whereas AIS information from class B transponders will not be shown until or if there is room on the AIS channel.

Vessel density maps have been around for quite a while. As of today, multiple sources make them available either for free or upon payment of a fee. Free vessel density maps tend to be developed in the framework of regional initiatives<sup>2</sup>, whereas maps from commercial sources may be rather expensive. On the other hand, EMODnet's maps are entirely different. They cover an area corresponding to all EU waters (and some adjacent regions), they are 100% free and come with no restrictions to use. This means that the

 $<sup>^1</sup>$  Council regulation (EC) No 1224/2009 of 20.11.2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy

<sup>&</sup>lt;sup>2</sup> For instance, <u>http://maps.helcom.fi/website/AISexplorer/</u>

maps can be viewed, downloaded, processed, used and re-used for commercial and non-commercial purposes alike, as long as EMODnet is duly acknowledged as the data source.

Even more importantly, EMODnet's vessel density maps are based on a fullydocumented bespoke method, which is different from the methods normally used to calculate shipping density.

## 2. What is density?

Trivial as it may seem, the first question anybody needs to ask when resolving to make a vessel density map is: what is density?

One of the most recurring methods to make a vessel density map consists of generating ship track lines from AIS positions, intersect them with a grid and count the number of lines that cross each cell of the grid in a given time interval, usually a month. As no method is in principle better than the other, it all boils down to what one wants to represent and for which purposes.

Back in 2017, the EMODnet Human Activities team started wondering how to calculate vessel density. After a few brainstorming sessions, it emerged that, while the abovementioned method was valid and straightforward, it did not produce the results *we* expected. See for instance the following picture:

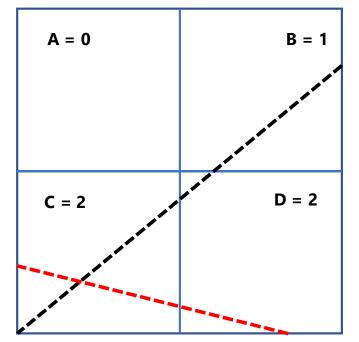


Figure 1: Calculating density based on number of ship tracks

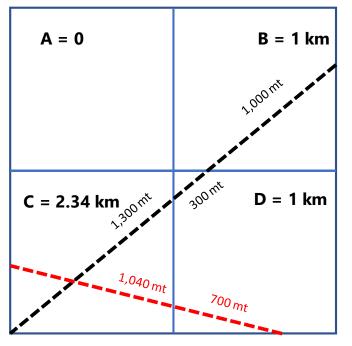
The picture shows a grid made up of four cells. The black and the red lines represent two different ship tracks. If we counted the number of lines crossing each cell, we would have the following density values in a given time period:

A = 0 neither the black nor the red ship cross cell A, so density is 0.

- B = 1 only the black ship crosses the cell, so density is 1.
- C = 2 both the black and the red ship cross the cell, so density is 2.
- D = 2 both the black and the red ship cross the cell, so density is 2.

Cell C and D have the same value of density, even though it is visible to the naked eye that the length of both lines in cell D is considerably shorter. Thus, this method simply counts the number of tracks that cross each cell. Rather than measuring "ship density", counting ship tracks that cross grid cells instead measures something that can be referred to as "ship crossing density".

What immediately came to mind, then, was how to factor in line length in our measure of density. For instance, following the example above:





Supposing our cell measures 1 km<sup>2</sup>, if we took into account line length, the results would be completely different:

- A = 0 no ship crosses the cell, so the density value is still 0.
- B = the black ship crosses the cell and sail 1 km in it, so density is 1 km.
- C = both the black and the red ship cross the cell, for a total length of 2.34 km.
- D = both the black and the red ship cross the cell, for a total length of 1 km.

Compared with the previous method, we can observe that the density value of cell C is now more than two times the value of cell D, whereas they were exactly the same before. Furthermore, cell B and cell D now have the same density value, even though two ships cross cell D, something which would have been impossible under the previous method.

However, this method does not produce the expected results either. This is because density should be a quantity used to describe the degree of concentration of countable objects (so, in this case ships) in a physical space (so, in this case a grid cell). Neither of the above-mentioned methods take this definition of density into account.

Vessel density should thus be interpreted as "how many vessels one expects to find within the area of reference during a given time period". One of the main problems is that the actual number of vessels within that area is never constant over time, because ships always move around at different speeds. So, vessel density should be the expected number of ships in that area, that is, the average number of ships in that area, taken from a large number of samples in time.

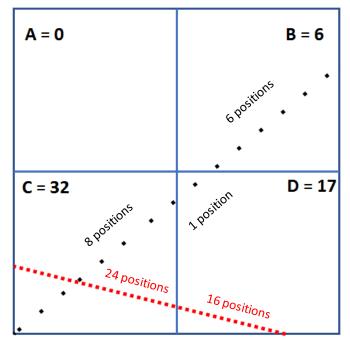


Figure 3: Calculating density from number of AIS positions

Assuming that ship positions are taken at regular time samples, the figure above shows that the black ship is sailing faster than the red ship. Therefore, even though both ships sail the same distance as in the previous figures, over time the expected probability of finding the black ship in any given cell is comparatively lower. This example shows that speed should also be taken into account when calculating density over a time period. So, once again, using a different method produces entirely different results:

A = 0 no ship crosses the cell, so the density value is still 0.

B = the black ship is sampled 6 times, so the density value is 6.

C = the black ship is sampled 8 times and the red ship is sampled 24 times over the same time period, so the density value is 32.

D = the black ship is sampled 1 time and the red ship is sampled 16 times over the same time period, so the density value is 17.

Therefore, over a time period, the average number of ships in the reference area is different. Now, the density value of cell C is about 2 times higher than cell D, whereas it was 2.34 times higher in the former example. The difference is even more marked if one looks at cell B and cell D. While they had the same density value in the former example, now cell D has a density value nearly 3 times higher than cell B.

The conclusion is that the first two methods may be representations of vessel density, but they do not give results that measure the average number of vessels per unit area, so they are not true densities. The third method indeed leads to a vessel density map with numbers that are the average instantaneous number of vessels per unit area. The average is unavoidably taken over time, because vessels are changing positions all the time.

## 3. How to calculate density?

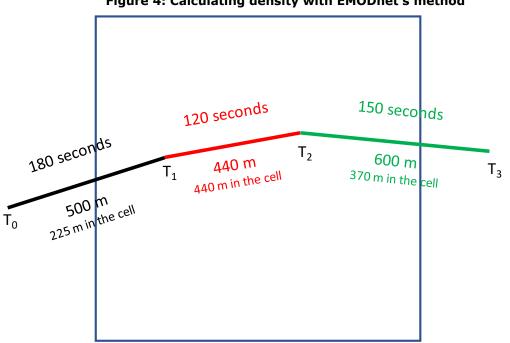
The third method presented in the previous paragraph is believed to produce a true measure of density, according to the definition proposed. However, it also comes with a strong limitation: counting ship positions in a cell over a time period can be done only if ships are sampled at regular intervals. In the real world, this seldom occurs. First of all, the default transmit rate for class A and class B is different. This problem could be solved easily, by making separate calculations for class A and class B messages. But there also is another challenge: in theory, the default transmit rate for class A messages is once every 2-10 seconds; in practice, when one acquires an AIS dataset, they will soon realise that the messages are almost never received at the expected transmit rate. This may be due to several reasons: from simple transmission errors, to not being in range of any ground- or space-based receiver, the latter being the main reason for data gaps.

The set of AIS data acquired by EMODnet confirmed that the actual time samples are often completely random, making it impossible to simply count ship positions in a grid cell to calculate density.

To overcome this issue, one solution is to interpolate the data by reconstructing ship routes from received position points and then sampling the tracks at regular time intervals. Depending on how it is done exactly, interpolation may be quite intensive in terms of computational power, because there might be billions of positions to process.

In light of the above, the method used for EMODnet's vessel density maps consists of reconstructed ship routes (lines) from the ship positions (points), by using a unique identifier of a ship. A line is created for every two consecutive received positions of a ship. For each line, length and duration (note that it is possible to calculate duration because each AIS message comes with a timestamp) are calculated and added as attributes. The lines obtained can then be intersected with a cell representing a unit area. Because each line has length and duration as attributes, it is possible to calculate how much time each ship spends in a given cell over a time period by intersecting the line records with the cell.

For the sake of simplicity, the figure below shows an example with a single ship sailing at different speeds in the same cell:



#### Figure 4: Calculating density with EMODnet's method

Black line: (225 m in the cell / 500 m total length) \* 180 seconds = 81 seconds in the cell

Red line: (440 m in the cell / 440 m total length) \* 120 seconds = 120 seconds in the cell

Green line: (370 m in the cell / 600 m total length) \* 150 seconds = 92.5 seconds

Total time in the cell: 293.5 seconds.

From this time value, one may calculate the number of expected positions (i.e. messages received) if the ships had been sampled at regular time intervals by dividing the total time spent in the cell by the hypothetical time sample. Assuming an interpolation sampling period of 30 seconds, one may divide the seconds spent by the ship in the cell by 30, that is 293.5 / 30 = 9.78 which is the number of expected ship positions over a time period.

To get a proper density value – I.e., the average instantaneous number of ships per cell over a time period – one should divide the time spent by all ships in a cell by the total time in the period of reference. For instance, since EMODnet's vessel density maps are calculated over a month, supposing that the time spent by all ships in a given cell is 6,000 hours, the average instantaneous density would be obtained by:

6,000 hours / (30 days \* 24 hours) = 8.34 ships per cell

Of course, one should correct for the effect of 28- and 31-day months. A density value per square km is obtained by dividing through the cell area.

To be noted that the vessel density maps that are available online on EMODnet only have a time value (in hours), i.e. no normalisation was carried out. This is because, it is believed that in this way users are allowed to normalise at their preferred time rate, depending on their needs. Whatever the time factor used for normalisation, the relative values between the cells will remain the same.

The following sections provide a detailed description of the various steps of the method used to calculate the vessel density maps, with density expressed in hours per square kilometre per month and broken down by ship type.

#### 4. The method

The method developed to create vessel density maps based on the considerations outlined in the previous sections is made up of the following steps:

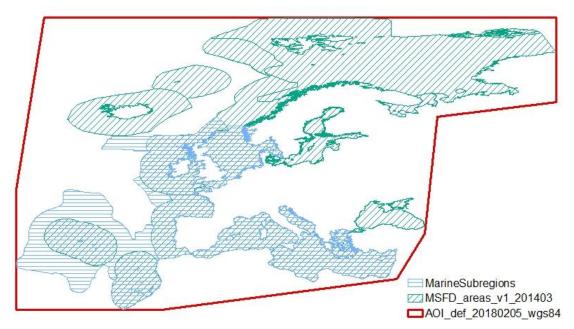
- 1. Defining the Area of Interest (AoI)
- 2. Creating a grid
- 3. Setting up a machine
- 4. Acquiring and cleaning the AIS data
- 5. Importing the AIS data in a database
- 6. Preparing the data for the calculations
- 7. Creating points
- 8. Creating lines
- 9. Calculating density
- 10. Creating maps

#### 4.1. Defining the area of interest

Before any other operation, one should define the desired Area of Interest (AoI), i.e. the area for which vessel density will be calculated. EMODnet was mandated to create

vessel density map for all EU waters, so we started from the marine regions and subregions map layers<sup>3</sup>, which provide information about the geographic boundaries of the areas listed under Article 4 of the Marine Strategy Framework Directive (MSFD)<sup>4</sup>.

We defined the AoI (the red polygon in the image below) according to the spatial extent of the MSFD marine subregions shapefile and according to the spatial extent of the MSFD\_areas\_v1\_201403 layer.



#### Figure 5: Area of Interest

The polygon in the picture above, however, also includes land areas. We obtained the actual AoI by intersecting the AoI polygon layer with the polygons of the European coastline<sup>5</sup> and the polygons of the world countries shapefile<sup>6</sup> at the lowest scale (1:1 million) available on GISCO. It was necessary to complete the EEA coastline polygon by adding the missing land of Greenland and remote Russian regions from the GISCO dataset.

<sup>&</sup>lt;sup>3</sup> <u>https://www.eea.europa.eu/data-and-maps/data/msfd-regions-and-subregions-1#tab-gis-data</u>

<sup>&</sup>lt;sup>4</sup> Directive 2008/56/EC of the European Parliament and of the Council of 17 June 2008 establishing a framework for community action in the field of marine environmental policy.

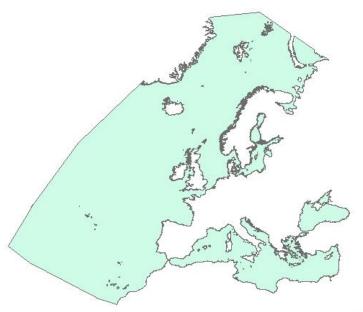
<sup>&</sup>lt;sup>5</sup> <u>https://www.eea.europa.eu/data-and-maps/data/eea-coastline-for-analysis-1</u>

<sup>&</sup>lt;sup>6</sup> <u>http://ec.europa.eu/eurostat/web/gisco/geodata/reference-data/administrative-units-statistical-units/countries</u>



### Figure 6: Area of Interest and coastline

We re-projected all layers into the ETRS89-LAEA coordinate system and we erased land from the whole AoI. The final result is shown in the picture below:



#### Figure 7: Area of Interest without land

AOI\_def\_20180205\_ETRS89

The final AoI covers all EU waters and does not contain land areas. This is necessary to define the grid in the following step, as well as to speed up data processing.

## 4.2. Creating a grid

After defining the AoI, it is necessary to create a grid that will be used in the final steps of the method to calculate vessel density. We started from the EEA national grids<sup>7</sup> for two reasons:

- the EEA grids are based on the recommendations from the 1st European Workshop on Reference Grids in 2003 and on INSPIRE geographical grid systems;
- the EEA grids reference coordinate system is ETRS89-LAEA, i.e. an equal area projection, which makes the grid suitable for generalising data, statistical mapping and analytical work whenever a true area representation is required. Recommended grid resolutions are 100 m, 1 km, 10 km and 100 km.

For each EEA member country, and for Europe as a whole (except Norway), three polygon shapefiles are made available, according to grid resolutions of 1, 10 and 100 km. The coordinate reference system is ETRS89-LAEA Europe, also known in the EPSG Geodetic Parameter Dataset under the identifier EPSG:3035. The Geodetic Datum is the European Terrestrial Reference System 1989 (EPSG:6258).

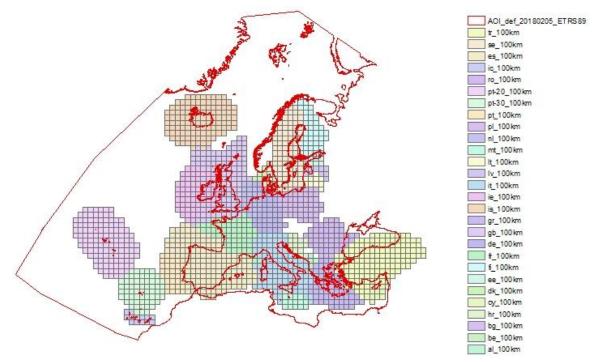


Figure 8: EEA national grids (100 km cells)

In some cases, country grids overlap each other and, as can be seen in the map above, the available data do not fully cover the AoI (for an easier visualisation only the 100km grids are shown). So, it was necessary to merge all the national grids and extend the results to the AoI bounding box. The obtained grid fully corresponds to and perfectly overlaps the cells of the merged EEA national grids.

<sup>&</sup>lt;sup>7</sup> <u>https://www.eea.europa.eu/data-and-maps/data/eea-reference-grids-2</u>

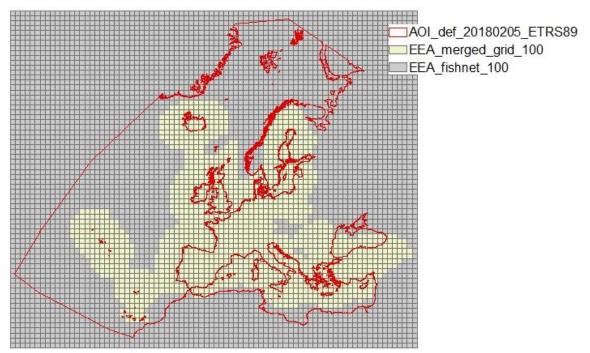


Figure 9: Extended EEA grid (100 km cells)

The same process was repeated for the other four resolutions, 1km, 10 km, 200 km and 500 km.

Only the cells that had their centroid in the AoI or are within an inner buffer of 1,000 metres from the AoI borders (N.B. the coastline is a border of the AoI) were selected, so as to obtain a fully INSPIRE-compliant 1x1km grid for calculating density. The 1,000-metre inner buffer was set to avoid loss of data along the coastline due to the resolution of the coastline layers used, and to limit the calculation only to cells that correspond to ocean areas. The result was a grid with 20,969,020 cells, stored as a feature class in a File Geodatabase.

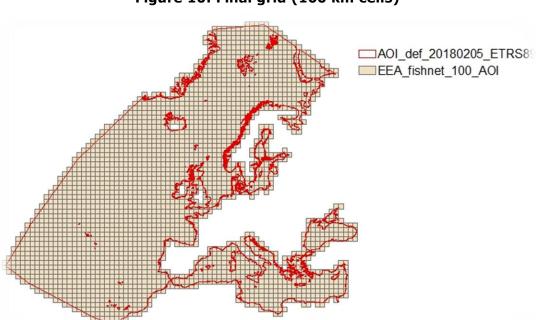


Figure 10: Final grid (100 km cells)

The 1km grid also exactly overlaps the EEA national grids. By way of an example, see the zoom on the 1x1 km grid layer of the Attic peninsula (plotted on Google Earth).

### 4.3. Setting up a machine

When dealing with a large AoI and a long time period, processing AIS data to create vessel density map might require considerable computing power. It is advised to set up a dedicated machine or to buy cloud computing power. In our case, we decided setting up a dedicated machine, with the following characteristics:

CPU: Intel Xeon W-2155 @3.30GHz 10 cores

#### RAM: 32 GB DDR4-2666

It is recommended to have several TB of disk space, because the files generated by the calculations tend to be quite large. SSD is recommended, as the transfer rate to disk can be a limiting factor in the processing. We used a 1TB SSD to process data on the fly, and a dedicated back-up server to store all the files generated throughout the various steps of the method.

The following software was installed on the machine:

- PostgreSQL 9.5 with PostGIS extension
- ArcGIS Desktop Basic 10.6.1
- QGIS 3.4.5 'Madeira'

It is recommended to install or virtualise a Linux distro, because certain data cleaning operations can be more efficiently executed through Shell commands.

#### 4.4. Acquiring and cleaning AIS data

Generally speaking, AIS data are not easily available. Some countries make them available publicly<sup>8</sup>, some others grant access to selected users. In most cases, only terrestrial AIS data are available, as satellite AIS data are property of private companies which have their own satellite constellation.

As of 2019, there is no source that makes available free terrestrial and satellite AIS data for the whole Europe. Therefore, it was decided to purchase a set of data from a commercial provider, Collecte Localisation Satellites (CLS)<sup>9</sup>, a French company specialised in space-based added value products and services for maritime applications. The dataset covered the year 2017 for an area covering the AoI described above and included both terrestrial and satellite messages. CLS were given the coordinates of the vertices of the polygon corresponding to the AoI:

> 35.579300002947,84.1457766111018 68.6079635620688,84.1457766111018 68.6079635620688,66.7866189035703 44.4963834818124,63.8033254953754 41.8082307313632,39.9969181476544 36.2158415629379,30.8193040544386 -11.7531667987087,24.6036292163154 -35.579300002947,24.6036292163154 -41.3099169996698,24.5935451406957 -41.3099169996698,48.9976000010394

<sup>&</sup>lt;sup>8</sup> See for instance Denmark:

https://www.dma.dk/SikkerhedTilSoes/Sejladsinformation/AIS/Sider/default.aspx and the US: https://marinecadastre.gov/ais/

<sup>&</sup>lt;sup>9</sup> <u>https://maritime-intelligence.cls.fr/</u>

#### -35.5793000002947,84.1457766111018

A partial pre-processing of the data was carried out by CLS, based on a method agreed with the EMODnet Human Activities team<sup>10</sup>:

- The only AIS messages delivered by CLS were the ones relevant for assessing shipping activities (AIS messages 1, 2, 3, 5, 18, 19, 24 and 27). This helped reduce the number of messages to be processed in the subsequent steps.
- Static and voyage data from messages 5 and 24 were associated to the position reports of messages 1, 2, 3, 18, 19 and 27 on the basis of the MMSI number.
- Points on land (clearly errors) were removed.
- The AIS data were down-sampled to 3 minutes, meaning that two consecutive messages from the same vessel could not have a time interval shorter than 3 minutes; this also helped reduce the number of messages to be processed considerably.
- Duplicate signals were removed.
- Messages with wrong MMSI numbers were removed (i.e. more or less than 9 digits or equal to 00000000, 111111111, 22222222, 333333333, 444444444, 555555555, 6666666666, 777777777, 8888888888, 9999999999, 123456789, 0.12345, 1193046).
- Special characters and diacritics were removed from names and call signs.
- Signals with erroneous speed over ground (SOG) were removed (negative values or more than 80 knots)
- Signals with erroneous course over ground (COG) were removed (negative values or more than 360°)
- A Kalman filter (developed by CLS) was applied to remove satellite noise. The Kalman filter was based on a correlated random walk fine-tuned for ship behaviour. The consistency of a new observation with the modelised position is checked compared to key performance indicators such as innovation, likelihood and speed.
- A footprint filter (developed by CLS) was applied to check for satellite AIS data consistency. All positions which were not compliant with the ship-satellite co-visibility were flagged as invalid.

The AIS data were converted from their original format (NMEA) to CSV, and split into 12 files, each corresponding to a month of 2017. Each month started from the last day of the previous month and ended with the first day of the following month.

Overall, the dataset included 1,879,626,250 records, from 859,156 unique vessels for a total size of 235 GB.

<sup>&</sup>lt;sup>10</sup> HELCOM's experience was very helpful to define the pre-processing steps.

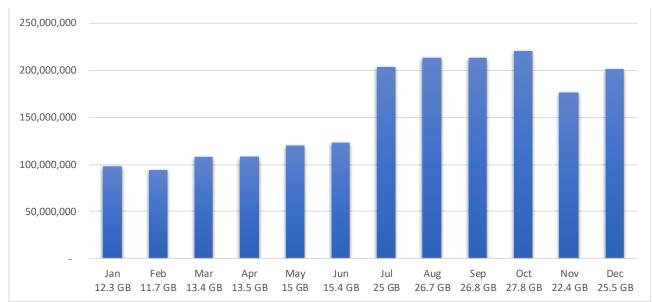


Figure 11: Number of records by month

The following fields were available in the acquired dataset:

Field	Description
	The Maritime Mobile Service Identity is a 9-
mmsi	digit number used to identify vessels in VHF radio communications. The first three digits
	denote the country of registry.
source	Satellite or terrestrial
locDate	Date when the movement was recorded
locTime	Time when the movement was recorded
lon	Longitude
lat	Latitude
sog	Speed over ground in 1/10 knot steps
	Course over ground. The direction of motion
cog	with respect to the ground that a vessel has
	moved relative to true north, in steps of 0.1°. The direction that a vessel is pointed at any
trueHeading	time relative to true north. Degrees (0-359)
repeatIndicator	Used by the repeater to indicate how many times a message has been repeated.
	Indicates whether a vessel is on a special
maneuverIndicator	manoeuvre (e.g. regional passing
	arrangements or inland waterway)
	0 = under way using engine, 1 = at anchor, 2 = not under command, 3 = restricted
	manoeuvrability, 4 = constrained by her
	draught, $5 = \text{moored}$ , $6 = \text{aground}$ , $7 =$
	engaged in fishing, $8 =$ under way sailing, $9$
	= reserved for future amendment of
navigationStatus	navigational status for ships carrying DG, HS,
-	or MP, or IMO hazard or pollutant category C,
	high speed craft (HSC), $10 =$ reserved for
	future amendment of navigational status for
	ships carrying dangerous goods (DG),
	harmful substances (HS) or marine pollutants
	(MP), or IMO hazard or pollutant category A,

#### Table 1: Available fields in the AIS data set<sup>11</sup>

<sup>&</sup>lt;sup>11</sup> <u>https://www.navcen.uscg.gov/?pageName=AISMessagesA</u> for more details.

Field	Description
	wing in ground (WIG); 11 = power-driven vessel towing astern (regional use); 12 = power-driven vessel pushing ahead or towing alongside (regional use)
rot	Rate of Turn. Right or left (degrees per minute)
positionAccuracy	$1 = high ( \langle = 10 m \rangle)$ . $0 = low ( \rangle 10 m \rangle)$ . 0 is the default value.
imo	IMO number (7 digits)
callSign	An alphanumeric identifier used for radio communications. Each flag authority has a designated call sign range, from which the national radio authority issues a call sign for each vessel in that fleet.
shipName	Vessel Name in English format, as recorded by the vessel's registration authority
aisShipType	Ship type and cargo type reported by the ship at the time of the movement. In total 256 values are possible, but only some 50 are in use.
typeOfElectronicPositionFixingDevice	Flag of electronic position fixing device; $0 = RAIM$ not in use = default; $1 = RAIM$ in use
eta	Estimated time of arrival as entered by personnel on board the ship
destination	Destination as entered by personnel on board the ship.
shipDraught	Ship draught
shipLength	Ship length
shipWidth	Ship width
flagISOcode	ISO code of the flag state

## 4.5. Acquiring and cleaning AIS data

Considering the size of the data set, it was decided to import the csv files into a relational database to store, analyse and query the data. The database used was PostgreSQL.

To this end, an initial set of empty tables, one per month, was created with unspecialised data types for all of the fields listed in the table above.

Upon importing the first csv into its table, it emerged that some messages still contained invalid characters, which caused the import process to fail. It was thus necessary to remove invalid characters and unnecessary fields from the csv files. This was done through the 'sed' and 'awk' utilities launched from a Linux Shell.

The csv files were then imported into their corresponding tables. To speed up data analysis and query it was decided to remove unnecessary fields and keep only those that would be used in the subsequent steps of the method. The remaining fields were assigned specialised data types:

- mmsi: numeric
- locDate: date
- locTime: time
- lon: double precision
- lat: double precision
- aisShipType: character varying

Indexes were created on the "mmsi" and "aisShipType" fields to speed up querying. We also added an ID column (integer) as primary key.

Some MMSI numbers are associated with more than one ship type during the same year. In principle, this is not an anomaly, as the MMSI number is associated with a device and not with a ship, and the device can be moved from one ship to another. However, in some cases, MMSI numbers changed repeatedly throughout the year, which is more unlikely. Therefore, it was decided to create a yearly MMSI register (reporting for each MMSI the unique AIS ship type code and the EMODnet code) buy using sql "UNION ALL" and "GROUP BY" operators. Then, when analysing the results we decided to attribute to an MMSI the most recurrent ship type, or, when the most recurrent ship type for an MMSI was "unknown" or clearly an error, we attributed the known ship type with more records.

The aisShipType field admits a wide number of ship types according to the AIS specifications. Therefore, it was decided to create an MMSI register (reporting for each MMSI the unique AIS ship type code and the EMODnet code) with macro-categories of ship types, as per the following table:

EMODnet Ship Type	AIS Ship Type Code	AIS Ship Type Description	Vessel Count
	0	Not available	7,875
	1	Reserved for future use	45
	2	Reserved for future use	25
	3	Reserved for future use	18
	4	Reserved for future use	34
	5	Reserved for future use	13
	6	Reserved for future use	45
	7	Reserved for future use	39
	8	Reserved for future use	12
	9	Reserved for future use	82
	10	Reserved for future use	56
	11	Reserved for future use	4
	12	Reserved for future use	20
	13	Reserved for future use	7
	14	Reserved for future use	14
	15	Reserved for future use	10
	16	Reserved for future use	6
	17	Reserved for future use	9
	18	Reserved for future use	6
	19	Reserved for future use	23
	20	Wing in ground (WIG), all ships of this type	259
0 Other	21	Wing in ground (WIG), Hazardous category A	4
U Other	22	Wing in ground (WIG), Hazardous category B	12
	23	Wing in ground (WIG), Hazardous category C	4
	24	Wing in ground (WIG), Hazardous category D	10
	25	Wing in ground (WIG), Reserved for future use	13
	26	Wing in ground (WIG), Reserved for future use	8
	27	Wing in ground (WIG), Reserved for future use	5
	28	Wing in ground (WIG), Reserved for future use	7
	29	Wing in ground (WIG), Reserved for future use	13
	34	Diving ops	385
	38	Reserved	173
	39	Reserved	79
	56	Spare - Local Vessel	111
	57	Spare - Local Vessel	56
	59	Non combatant ship according to RR Resolution No. 18	38
	90	Other Type, all ships of this type	2,418

#### Table 2: EMODnet ship types

EMODuct Chin Ture	AIS Ship Type	AIC Chip Tupo Description	Vessel
EMODnet Ship Type	Code	AIS Ship Type Description	Count
	91	Other Type, Hazardous category A	28
	92	Other Type, Hazardous category B	14
	93	Other Type, Hazardous category C	12
	94	Other Type, Hazardous category D	7
	95 96	Other Type, Reserved for future use	36 37
	96 97	Other Type, Reserved for future use Other Type, Reserved for future use	151
	98	Other Type, Reserved for future use	27
	99	Other Type, no additional information	2,796
	100-255	Not available (local coding system)	448
	100 100	Total	15,494
1 Fishing	30	Fishing	15,012
	50	Pilot Vessel	981
	51	Search and Rescue vessel	2,195
2 Service	53	Port Tender	866
	54	Anti-pollution equipment	200
	58	Medical Transport	43
2 Decision and and a		Total	4,285
3 Dredging or underwater	33	Dredging or underwater ops	1,447
operations 4 Sailing	36	Sailing	29,155
5 Pleasure craft	30	Pleasure Craft	29,155
S ricasdie clait		High speed craft (HSC), all ships of this	684
	40	type	001
	41	High speed craft (HSC), Hazardous category A	12
	42	High speed craft (HSC), Hazardous category B	6
	43	High speed craft (HSC), Hazardous category C	5
	44	High speed craft (HSC), Hazardous category D	8
6 High-speed craft	45	High speed craft (HSC), Reserved for future use	7
	46	High speed craft (HSC), Reserved for future use	9
	47	High speed craft (HSC), Reserved for future use	18
	48	High speed craft (HSC), Reserved for future use	7
	49	High speed craft (HSC), No additional information	146
		Total	902
	31	Towing	533
7 Tug and towing	32	Towing: length exceeds 200m or breadth exceeds 25m	80
	52	Tug	3,712
		Total	4,325
	60	Passenger, all ships of this type	4,449
	61	Passenger, Hazardous category A	35
	62	Passenger, Hazardous category B	22
	63	Passenger, Hazardous category C	13
0.0	64	Passenger, Hazardous category D	25
8 Passenger	65	Passenger, Reserved for future use	35
	66 67	Passenger, Reserved for future use Passenger, Reserved for future use	31 85
	68	Passenger, Reserved for future use	22
	69	Passenger, No additional information	2,502
		Total	7,219
	70	Cargo, all ships of this type	12,469
	71	Cargo, Hazardous category A	1,325
	72	Cargo, Hazardous category B	138
	73	Cargo, Hazardous category C	79
9 Cargo	74	Cargo, Hazardous category D	200
5 cargo	75	Cargo, Reserved for future use	55
	76	Cargo, Reserved for future use	30
	77	Cargo, Reserved for future use	27
	78	Cargo, Reserved for future use	12
	79	Cargo, No additional information	6,199

EMODnet Ship Type	AIS Ship Type Code	AIS Ship Type Description		Vessel Count
		г	「otal	20,534
	80	Tanker, all ships of this type		4,718
	81	Tanker, Hazardous category A		497
	82	Tanker, Hazardous category B		399
	83	Tanker, Hazardous category C		213
	84	Tanker, Hazardous category D		266
10 Tanker	85	Tanker, Reserved for future use		30
	86	Tanker, Reserved for future use		8
	87	Tanker, Reserved for future use		11
	88	Tanker, Reserved for future use		17
	89	Tanker, No additional information		1,934
		Т	Total	8,093
11 Military and law	35	Military ops		732
11 Military and law enforcement	55	Law Enforcement		754
emorcement		1	Total	1,486
12 Unknown	Error (-1)			238,98
		T	Total	370,779

The number of vessels is different from the one reported above (859,156), because vessels with only one recorded position throughout the year were removed; they were most certainly errors.

We have imported in our db the yearly register with the following specialised data types:

- Mmsi (numeric)
- EMODnet \_code (numeric)

#### 4.6. Creating points

The positions stored in the 12 csv files needed to be converted into points in a GIS environment.

#### ArcGIS

First of all we had to export the csv files from the db by ship type. The SQL query developed used the "COPY" function to create a csv for each EMODnet ship type, where records were orderder by "mmsi", "locdate" and "loctime". The EMODnet ship type was imported from the register running the "LEFT JOIN" function using the mmsi column.

To deal with the 156 csv files exported from the db (12 months\*13 ship types), initially we used ArcGIS 10.6.1 and its Model Builder tools. The ArcGIS environment was configured for best processing performance:

- 64-bit background processing was installed
- 'Background Processing' was enabled within the geoprocessing options
- Script/model property 'always run in foreground' was set to off (although foreground processing is useful for initial testing)
- The scratch file geodatabase was stored on an SSD

We developed a script that made it possible to calculate and store point feature classes in a file geodatabase. A file geodatabase with 13 feature classes (one per ship type) was created for each month of the year.

The size of each file ranges from 30 GB to 65 GB, depending on the month of the year (some months are busier than others).

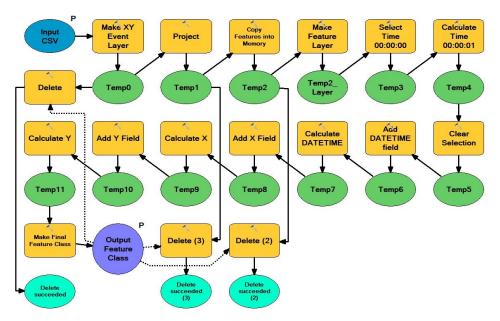
The script developed consists of the following steps:

• Make XY event layer from the input CSV file

- Project from WGS84 to ETRS\_LAEA, applying the appropriate geographic transformation
- Copy point features into RAM and make a feature layer
- Select times that are 00:00:00 (ArcGIS treats these values as a blank time rather than exactly midnight)
- Calculate selected times to be 00:00:01 (one second passed midnight)
- Clear Selection
- Add DATETIME field
- Concatenate date and time into DATETIME field
- Add X1 field (type double)
- Calculate X1 values to be the X coordinate in ETRS\_LAEA
- Add Y1 field (type double)
- Calculate Y1 values to be the Y coordinate in ETRS\_LAEA
- Copy features from RAM into final output layer
- Delete temporary layers from RAM

Overall, it took between 12 and 26 hours to create points for a month, depending on the number of records.

The picture below shows the work flow of the script:



## Figure 12: Workflow of the «Create points" script

The obtained feature classes had the following fields:

Field name	Data type
OBJECTID	Object ID
Shape	Geometry
MMSI	Long integer
LocDate	Date
LocTime	Text
Lon	Double
Lat	Double
DateTime	Date
X1	Double
Y1	Double

### Table 3: Fields in the point feature class

Essentially, the script was used to project data from WGS84 to ETRS-LAEA, and concatenate date and time into a single field.

#### PostGIS

To speed up calculation, in 2020 we decided to develop and alternative method to create points for each vessel position. A SQL query was written and run in PostgreSQL (with PostGIS extension enabled) working with each monthly dataset by the following functions:

- ALTER TABLE, ADD COLUMN and SET functions to create a new column datetime (timestamp) concatenating locdate and locdate columns;
- ALTER TABLE, ADD COLUMN, SET, ST\_SetSRID and ST\_MakePoint functions to create a new column geom (geometry, Point), set the geometry metadata defining the spatial reference system (4326) and construct a geography object that represents a point with the specified longitude and latitude;
- ALTER TABLE, ALTER COLUMN, TYPE, ST\_Transform functions to return a new geometry with its coordinates transformed to a different spatial reference system (3035);
- ALTER TABLE, ADD COLUMN, UPDATE, SET, ST\_X and ST\_Y functions to return the coordinates X and Y (metres) of the point in two new columns;
- SELECT, INTO, FROM, LEFT JOIN, WHERE and ORDER BY functions to return new geometries for each month and by ship type, reporting all the rows of the table on the left side of the join (the vessels positions) and the matching rows for the table on the right side of the join, namely the EMODnet ship type imported from the register (using mmsi as clause), ordered by mmsi and datetime.

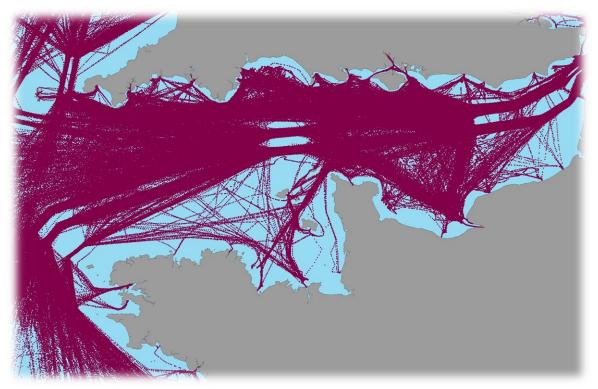
Besides being considerably faster, this method does not require to create a csv file for each month/ship type before creating points.

The final geometries had the following columns:

#### Table 4: Columns of data geometries

Field name	Data type
mmsi	numeric
x1	double precision
y1	double precision
datetime	timestamp without time zone
geom	geometry (Point, 3035)

#### Figure 13: Example of points (positions) in the English Channel (Cargo, December 2017)



## 4.7. Creating lines

The points obtained from the previous step were used to reconstruct ship routes (lines), by using the MMSI number as a unique identifier of a ship.

#### ArcGIS

Another custom-made script made it possible to calculate and store line feature classes in a file geodatabase. A file geodatabase with 13 feature classes (one per each ship type) was created for each month of the year. The size of each file ranges from 8 GB to 20 GB, depending on the month of the year.

The script firstly added to each record the MMSI number, coordinates and the timestamp of the preceding record with the same MMSI. It then calculated the time interval in hours between one record and the other. A line was then created for every two consecutive positions of a ship. In addition, for each line the script calculated its length (in km). If the distance between two consecutive positions of a ship was longer than 30 km or if the time interval was longer than 6 hours, no line was created. This was a necessary precaution, because in many cases a ship might stop sending messages while on voyage, and then start again even after days. As can be grasped intuitively, interpolating the data in such cases would have produced inaccurate results and implausible routes. On the other hand, the interpolation method adopted – i.e. creating a line only between two consecutive positions of a ship and not for her whole route – produced better, more accurate results.

The script developed consists of the following steps:

- Add blank fields, X2, Y2, ID2, datetime2.
- Create variables X1, Y1, ID and datetime1 and set to zero.
- Then for each row
  - Calc X2 = X1, Y2 = Y1, ID2 = ID, datetime2 = datetime1.

- Read/store new values from current row for X1 (from X), Y1 (from Y), ID (from MMSI), datetime1 (from datetime) and repeat the step.
- Select Valid Journeys: Copy all records to new feature class where ID = ID2. Note: if ID <> ID2, these would be lines that join routes from different vessels together – including the first row.
- Create new LINETIME field (long)
- Calculate LINETIME (difference between datetime and datetime1 in hours)
- Select LINETIME rows where time is within the parameter set in the tool options
- Create straight line routes for each selected record using X1, Y1, X2, Y2. Carry through LINETIME as the ID, so we don't have to re-join the previous table.
- Select lines greater in length than specified in the parameter set in the tool options and remove.

Overall, it took between 8 and 18 hours to create lines for a month, depending on the number of records.

The picture below shows the work flow of the script. The section 'Part2Cursor' contained the python code which added to each record the MMSI number, coordinates and the timestamp of the preceding record.

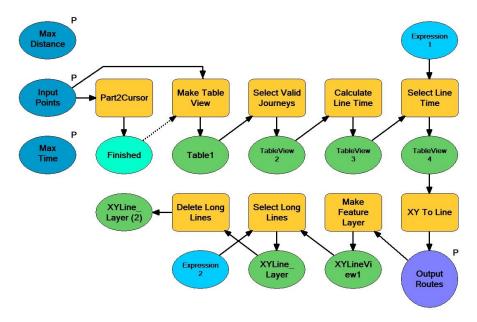


Figure 14: Workflow of the "Create Lines" script

The obtained feature classes had the following fields:

Field name	Data type
OID	Object ID
Shape	Geometry
X1	Double
Y1	Double
X2	Double
Y2	Double
LineTime	Double
Shape_length	Double

#### Table 5: Fields in the point feature class

#### PostGIS

As with point calculation, also for lines creation we developed an alternative and faster method to reconstruct vessel routes from each monthly/ship type geometry point data.

An SQL query was written and run in PostgreSQL (with PostGIS extension enabled), using the following functions:

- ALTER TABLE, ADD COLUMN, WITH (cte), SELECT, LAG, OVER, PARTITION BY, ORDER BY, ST\_MAKELINE and FROM functions which add two columns to the geometry point data in order to return, ordered by mmsi and datetime, the difference of datetime from the current row of a month/ship type geometry (Point) by accessing to the data of the previous row, and to construct a line every two consecutive points and compute its length;
- UPDATE, SET, FROM, WHERE functions to make permanent time and length calculations and select only lines with an interval equal or shorter than 6 hours and a length shorter than 30000 metres;

#### Table 6: Columns in the intermediate geometries data

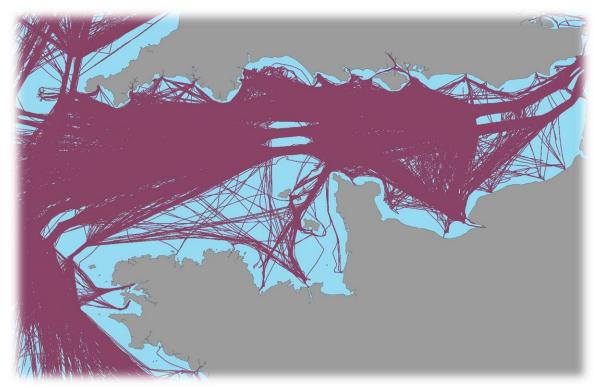
Field name	Data type
mmsi	numeric
x1	double precision
y1	double precision
datetime	timestamp without time zone
geom	geometry(Point,3035)
linegeom	geometry(LineString,3035)
linetime2	interval
shape_length	double precision
linetime	double precision

• ALTER TABLE, ADD COLUMN, UPDATE, SET, EXTRACT (epoch), CREATE TABLE and WHERE functions to return the line length, to convert seconds in hours (interval/1600) in a new geometry (lineString, 3035) where the geometry is not null and the length greater than 0.

#### Table 7: Columns in the final geometries data

Field name	Data type
mmsi	numeric
linetime	double precision
shape_length	double precision
linegeom	geometry(LineString,3035)

Figure 15: Example of lines (routes) in the English Channel (Cargo, December 2017)



## 4.8. Calculating density

The lines obtained in the previous step were intersected with the grid. Because each line had length and duration as attributes, it was possible to calculate how much time each ship spent in a given cell over a month. This is a distinctive feature of our method; when a line crossed two or more cells of the grid, we were able to establish how much time the ship spent in each cell, by calculating the length of the line segment that crossed a cell, dividing it by the total line length, and multiplying it by the total line time. Density is thus expressed in hours per square kilometre per month. For each cell of the grid, we then summed the time value of each "segment" in it, thus obtaining the density value associated to that cell.

To do so, we imported the 1x1km grid polygon feature as well as the lines obtained in the previous step in a new PostgreSQL (with the PostGIS extension enabled) database, using the ogr2ogr GDAL command. This tool also created a spatial index for the imported features.

A SQL script was run in PostgreSQL with the following steps:

- Intersect lines with the grid using the PostGIS function st\_intersection
- Add a field SEGLENGTH and calculate the length of each segment using the PostGIS function st\_length
- Add a field SEGTIME and calculate the segment time to be (SEGLENGTH / Shape\_length) \* LineTime
- Sum the segment time within each grid square
- Join the values to the 1km grid and make a new grid table

The result was a new grid polygon object with a field containing the number of hours spent in all cells by each ship type per each month. Overall, there were 156 files, 3.5 GB each.

Overall it took around 24 hours to calculate density for a month.

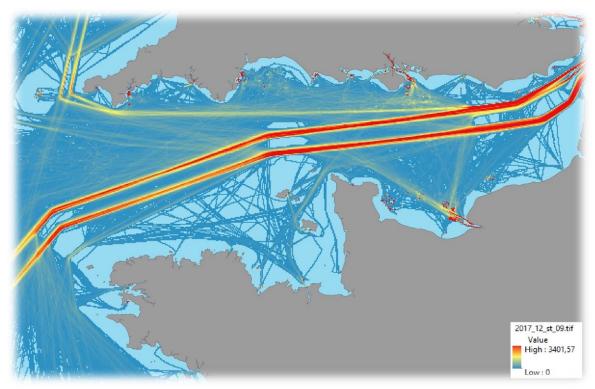
## 4.9. Creating maps

The final step consisted of creating raster files (TIFF file format) from the PostgreSQL vessel density tables, using the 'rasterize' GDAL command (setting the grid polygon feature class as output extent and assigning -9999 as 'nodata' value). With 14 ship types (including the sum 'all ship types') multiplied by 12 months, we had 168 raster files. Then we added 14 more raster files (one per each ship type) with average density values over the whole year. What is called 'vessel density map' is in fact a set of 182 different maps.

The monthly raster datasets with 'all ships types' and the yearly averages were calculated with QGIS raster calculator.

Each raster (uncompressed) is made up of 21 million cells, for a size of 184 MB.

## Figure 16: Example of cargo density map of the Mediterranean (December 2017)



## 5. Publishing the maps

The maps were made available on EMODnet. The rasters in WGS84 projection with raw time values were stored on the server and published using GeoServer. The rasters are symbolised dynamically assigning colours (as hexadecimal values) to time values using a logarithmic scale.

```
<RasterSymbolizer>
     <ColorMap>
     <ColorMapEntry color="#0b2c7a" quantity="0" opacity="0"/>
                                                                         100+
     <ColorMapEntry color="#20998f" quantity="0.5" />
                                                                         20
     <ColorMapEntry color="#00db00" quantity="2" />
     <ColorMapEntry color="#ffff00" quantity="5" />
                                                                          10
     <ColorMapEntry color="#eda113" quantity="10" />
                                                                          5
     <ColorMapEntry color="#dd3a1b" quantity="20" />
     <ColorMapEntry color="#9b1800" quantity="100" />
                                                                          2
     </ColorMap>
     <Opacity>1.0</Opacity>
                                                                          0.5
</RasterSymbolizer>
                                                                       Hours per
                                                                       Square Km
                                                                       per Month
```

The rasters are also projected dynamically to the WGS84/Pseudo-Mercator map projection so they display correctly in the 'View Data' page.

The rasters were made available as WMS and are provided monthly by vessel type along with an average for the whole year.

#### 5.1. Converting to different density units

As explained, the units of density in the published maps are total ship time in hours spent in a cell over the whole month. As the cells are 1 km2, this is immediately per square km.

In order to obtain the density as the average number of instantaneous ships per square km, the values of the published monthly maps must be divided by the total number of hours in the month, which is 28, 30 or 31 times 24 hours depending on the month. For the published yearly average maps, the values must be multiplied by 12 and divided by 365 times 24 hours (for 2017).

To convert to ship density per square degree instead of per square km, the published numbers can be multiplied by the factor (60 \* 1.852)<sup>2</sup> cos(latitude).

#### 6. What's next

Creating EMODnet's vessel density maps was a daunting task, but also an amazing journey. Working with big data is not necessarily rocket science, but it certainly poses many a challenge: the amount of data to process is ginormous, so figuring out what to do and how to do it is more often than not a trial and error process. The problem is that trial and error might be quite time-consuming when processing a large amount of data, even more so if there is a looming deadline. Nevertheless, overall it was a terrific experience; we learned a lot and we got to know a bunch of wonderful people, who helped us focus on our goal. The European marine data community is a close-knit group of experts, many of whom took a friendly interest in our work and never hesitated to help out and share their experience.

EMODnet's vessel density maps went live on 11 March 2019, and so it is time for us to figure out how we can capitalise on this experience and improve the services offered.

Since 2019 the maps have received an update every year. Other possible developments, depending on time and budget, might include:

- Including inland waterway traffic.
- Purchasing historical datasets, so to enrich our time series.
- Complementing AIS data with data from other databases, so as to develop other maps such maps on shipping emissions and noise maps (in collaboration with EMODnet physics).
- Making available the vessel density maps as NetCDF files.

As usual, feedback from users will be crucial. Rather than deciding how to move forward ourselves, we would like to hear what researchers, businesses, maritime spatial planners, policy makers and other users have to say, so as to understand how we can better serve the marine community with our products.

A 2022 study funded by DG MARE provided insights into the reliability of vessel-density maps derived from AIS signals and developed a new algorithm for improving their accuracy. The results can be found <u>here</u>.

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